

In the United States Patent and Trademark Office

Serial Number: 10/693869
Application. Filed: October 28, 2003
Applicant: Kia Silverbrook
Application Title: Inkjet Printer Incorporating an Ink Transfer Roller
Examiner/GAU: Marvin P Crenshaw / 2854

Dated August 16, 2007
At: Balmain, NSW
Docket No. ZG008US

REQUEST FOR REFUND

Commissioner for Patents
Washington, District of Columbia 20231

Dear Sir:

The issue fees on this case have been paid twice, due to the case being withdrawn from Issue in February 2006 and subsequently going to allowance in December 2006.

The Applicant encloses:

- A copy of our payment of Issue Fee dated November 2, 2005, including copy of cheque;
- A copy of our payment of Issue Fee dated October 4, 2006, including copy of cheque.

We would be grateful if the first payment of November 2, 2005 could be refunded.

Very respectfully,

Applicant/s:



Kia Silverbrook

C/o: Silverbrook Research Pty Ltd
393 Darling Street
Balmain NSW 2041, Australia

Email: kia.silverbrook@silverbrookresearch.com
Telephone: +612 9818 6633
Facsimile: +61 2 9555 7762

**SILVERBROOK RESEARCH Pty Ltd**

393 Darling Street Balmain NSW 2041 Australia

PO Box 207 Balmain NSW 2041 Australia

Phone: +61 2 9818 6633 Fax: + 61 2 9555 7762

Email: info@silverbrookresearch.com

ACN 066 573 671

13 October 2006

Commissioner for Patents

P O Box 1450

Alexandria, Virginia 22313-1450

USA

Dear Sir

United States Patents Issue Fees**Assignee: Silverbrook Research Pty Ltd**

We attach ten (10) Transmittal Forms and one (1) US bank draft for the amount of US\$17,000 in payment of Publication and Issue Fee for following patent applications.

| Attorney Docket No | US Patent Application No. | Status Letter (Changing status to Large Entity) | Publication Fee | Issue Fee | Total Fee |
|-----------------------|---------------------------------|---|--------------------|--------------|--------------|
| ZG051US | 11/144,803 | N/A | \$300 | \$1,400 | \$1,700 |
| IRB006US | 10/913,378 | N/A | \$300 | \$1,400 | \$1,700 |
| ZG008US | 10/693,869 | N/A | \$300 | \$1,400 | \$1,700 |
| XMB007US | 10/675,407 | N/A | \$300 | \$1,400 | \$1,700 |
| AP44NPUS | 10/503,887 | N/A | \$300 | \$1,400 | \$1,700 |
| MJ144US | 10/957,718 | N/A | \$300 | \$1,400 | \$1,700 |
| WAL33US | 10/962,399 | N/A | \$300 | \$1,400 | \$1,700 |
| XMB001US | 10/975,452 | N/A | \$300 | \$1,400 | \$1,700 |
| YU137NPUS | 10/485,756 | N/A | \$300 | \$1,400 | \$1,700 |
| YU230US | 11/450,585 | N/A | \$300 | \$1,400 | \$1,700 |

Yours faithfully

Kia Silverbrook

MANAGING DIRECTOR

PART B - FEE(S) TRANSMITTAL

plete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 or **Fax** (571)-273-2885

CTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where applicable. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for future fee notifications.

NT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

24011 7590 08/07/2006

VERBROOK RESEARCH PTY LTD
 DARLING STREET
 BALMAIN, NSW 2041
 AUSTRALIA

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

| |
|--------------------|
| (Depositor's name) |
| (Signature) |
| (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
|-----------------|-------------|----------------------|---------------------|------------------|

| | | | | |
|------------|------------|-----------------|---------|------|
| 10/693,869 | 10/28/2003 | Kia Silverbrook | ZG008US | 4110 |
|------------|------------|-----------------|---------|------|

OF INVENTION: INKJET PRINTER INCORPORATING AN INK TRANSFER ROLLER

| LN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------|--------------|---------------|---------------------|----------------------|------------------|----------|
|----------|--------------|---------------|---------------------|----------------------|------------------|----------|

| | | | | | | |
|-------------|----|--------|-------|--------|--------|------------|
| provisional | NO | \$1400 | \$300 | \$1400 | \$1700 | 11/07/2006 |
|-------------|----|--------|-------|--------|--------|------------|

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|----------|----------|----------------|
|----------|----------|----------------|

| | | |
|--------------------|------|------------|
| CRENSHAW, MARVIN P | 2854 | 347-029000 |
|--------------------|------|------------|

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.33).

2. Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

3. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Service Form is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 _____
 2 _____
 3 _____

INVENTOR NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for publication as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

verbroom Research Pty Ltd

Balmain, NSW, Australia

Check appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

Following fee(s) are submitted:

Issue Fee

Publication Fee (No small entity discount permitted)

Advance Order - # of Copies _____

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

☒ A check is enclosed.

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☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).

4c. In Entity Status (from status indicated above)

Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest shown by the records of the United States Patent and Trademark Office.

Authorized Signature



Date 23 AUGUST 2006

or printed name

KIA SILVERBROOK

Registration No. _____

Publication of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) the application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and completing the application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Date 04 October 2006

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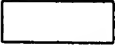
United States Currency

The sum of SEVENTEENTHOUSANDDOLLARS*****
*****USD ***17,000.00*****

To BANK OF AMERICA NA
NEW YORK, NY

For Commonwealth Bank of Australia
ABN 48 123 123 124

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For SECURITY FEATURES see reverse of draft.

Manager

Accountant

⑈5427096⑈ ⑆066007681⑆ 6550101810⑈

ISSUE FEES

PLEASE REFER TO THE ATTACHED LETTER.

SECURITY FEATURES

The counterfeiters printed a series of numbers on the back of the draft. These numbers are printed in a way that they can only be seen by holding the draft up to the light. The numbers are printed in a way that they can only be seen by holding the draft up to the light.

ADDITIONAL SECURITY FEATURES

1. The draft is printed on a special paper that is designed to be difficult to counterfeit.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail**

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571) 273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as stated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

24011 7590 10/26/2005

SILVERBROOK RESEARCH PTY LTD
393 DARLING STREET
BALMAIN, 2041
AUSTRALIA

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Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

| |
|--------------------|
| (Depositor's name) |
| (Signature) |
| (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/693,869 | 10/28/2003 | Kia Silverbrook | ZG008US | 4110 |

TITLE OF INVENTION: INKJET PRINTER INCORPORATING AN INK TRANSFER ROLLER

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO | \$1400 | \$300 | \$1700 | 01/26/2006 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|--------------------|----------|----------------|
| CRENSHAW, MARVIN P | 2854 | 347-103000 |

Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1

2

3

SIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

LEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Silverbrook Research Pty Ltd

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Balmain, NSW, Australia

Check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

The following fee(s) are enclosed:

☒ Issue Fee

☐ Publication Fee (No small entity discount permitted)

☐ Advance Order - # of Copies _____

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☒ A check in the amount of the fee(s) is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).

Change in Entity Status (from status indicated above)

☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature _____

Date _____

Typed or printed name _____

Registration No. _____

A collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and filing the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete the form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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115175531

Date 02 November 2005

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COMMISSIONER FOR PATENTS

United States Currency

The sum of

ONETHOUSAND, SEVENHUNDREDDOLLARS*****

USD ***1,700.00*****

To BANK OF AMERICA NA
NEW YORK, NY

For Commonwealth Bank of Australia
ABN 48 123 123 124

0 435 702

For SECURITY FEATURES see reverse of draft.

Manager

Accountant

ISSUE FEE

US\$1: 10/693,869

TITLE: INKSET PRINTER INCORPORATING AN INK TRANSFER ROLLER



SECURITY FEATURE

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ADDITIONAL SECURITY FEATURES

- Invisible Fluorescence
- Thermochromic Ink
- Micro Printing
- Fine line security patterns

INVENTOR: KVA SILVERBROOK

DOCKET NO: 26008US